Quantitative monitoring of tamoxifen in human plasma extended to 40 metabolites using liquid-chromatography high-resolution mass spectrometry: new investigation capabilities for clinical pharmacology

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Abstract Liquid-chromatography (LC) high-resolution (HR) mass spectrometry (MS) analysis can record HR full scans, a technique of detection that shows comparable selectivity and sensitivity to ion transitions (SRM) performed with triple-quadrupole (TQ)-MS but that allows de facto determination of “all” ions including drug metabolites. This could be of potential utility in in vivo drug metabolism and pharmacovigilance studies in order to have a more comprehensive insight in drug biotransformation profile differences in patients. This simultaneous quantitative and qualitative (Quan/Qual) approach has been tested with 20 patients chronically treated with tamoxifen (TAM). The absolute quantification of TAM and three metabolites in plasma was realized using HR- and TQ-MS and compared. The same LC-HR-MS analysis allowed the identification and relative quantification of 37 additional TAM metabolites. A number of new metabolites were detected in patients’ plasma including metabolites identified as didemethyl-trihydroxy-TAM-glucoside and didemethyl-tetrahydroxy-TAM-glucoside conjugates corresponding to TAM with six and seven biotransformation steps, respectively. Multivariate analysis allowed relevant patterns of metabolites and ratios to be associated with TAM administration and CYP2D6 genotype. Two hydroxylated metabolites, α-OH-TAM and 4′-OH-TAM, were newly identified as putative CYP2D6 substrates. The relative quantification was precise (<20 %), and the semiquantitative estimation suggests...
that metabolite levels are non-negligible. Metabolites could play an important role in drug toxicity, but their impact on drug-related side effects has been partially neglected due to the tremendous effort needed with previous MS technologies. Using present HR-MS, this situation should evolve with the straightforward determination of drug metabolites, enlarging the possibilities in studying inter- and intra-patients drug metabolism variability and related effects.

**Keywords** Drug metabolites · High-resolution mass spectrometry · Plasma · Quantification · Therapeutic drug monitoring · Triple-quadrupole

**Abbreviations**

HR-MS     High-resolution mass spectrometry  
MDF       Mass defect filtering  
TDM       Therapeutic drug monitoring  
TQ-MS     Triple-quadrupole mass spectrometer  
TDM       Therapeutic drug monitoring  
XIC       Extracted ions chromatogram

**Introduction**

Adverse drug reactions (ADR) are a major concern as regards the number of people exposed (millions), the number of deaths (a few thousands), and financial costs (US$10–100 billion in a country such as the USA) [1–3]. Moreover, the absolute number of ADR is steadily increasing due to (i) an increased number of prescriptions, (ii) the increased number of available drugs, and (iii) polymedication [2].

Recently, the importance of drug metabolites in ADR have been specifically underscored with the release of Authority Guidance for industry about the safety of drug metabolites (Metabolites In Safety Testing (MIST) in 2008) and the International Conference on Harmonization (ICH Guideline M3(R2) in 2009/2010) [4, 5]. Today, drug developers must address drug metabolite toxicology when their levels represent more than 10 % of the total drug-related exposure or if they are present in disproportionate higher levels in humans than in the tested animals [6].

The mechanisms of toxicity of a drug and its metabolites are diverse and can be (i) on-target, (ii) off-target with the binding of the drug/metabolites to an alternate target, or (iii) related to the covalent binding of reactive metabolites to proteins, nucleic acids, or membranes [7–9]. Strong side effects can also be observed with very low levels of metabolites or at a very low frequency (<1 case in 1,000 patients). These are rare events involving (alone or in combination) rare alleles (single nucleotide polymorphisms (SNPs)), or drug–drug/–herbal/–food interactions (induction and/or inhibition) able to alter pharmacokinetics [10, 11]. Eventually, the realistic safety profile of a new approved drug can only be appraised after a number of years of public use [2]. This underscores the huge significance of pharmacovigilance [12].

One main example of a drug whose metabolic pathways and related pharmacodynamics has raised interest through the last decades, is tamoxifen (TAM), a selective estrogen receptor modulator, used for the prevention and adjuvant treatment of estrogen-sensitive breast cancer. TAM is extensively metabolized into active, inactive, and reactive metabolites through different metabolic pathways involving different enzymes (mainly cytochrome P-450 (CYP) and conjugation enzymes) whose activities can vary intra- and inter-individually [7, 13–15]. Two metabolites, 4-hydroxy-TAM and endoxifen (4-hydroxy-N-demethyl-TAM), show much higher activity than the parent drug with up to 100-fold greater affinity and potency in inhibiting estrogen receptors [13]. Patients with lower endoxifen levels, as a consequence of the reduced CYP2D6 activity, are less likely to achieve benefit from TAM treatment [14]. Conversely, patients with higher endoxifen concentrations have higher frequency of side effects. Other TAM metabolites could be related to the occurrence of other ADR such as cancer via the formation of reactive carbocation or benzoquinones [7]. Thus, the extensive TAM biotransformation underscores the importance of comprehensive in vivo drug metabolism studies [15–18].

In the present work, our objective was to show that nowadays, the determination of a drug and tens of its metabolites in human plasma is feasible with recent high-resolution mass spectrometers (HR-MS; time-of-flight- and Orbitrap-MS). In liquid-chromatography (LC) coupled to HR-MS analysis, the detection of a drug and its metabolites can be performed by high-resolution full scan (HR-FS) with comparable selectivity, sensitivity, level accuracy, robustness, and ease of use to ion transitions (SRM) performed with triple-quadrupole MS (TQ-MS) [19–23]. This is why there is a replacement of TQ-MS by HR-MS in many laboratories [24]. HR-FS acquisition records virtually all ions and allows the determination of known or unexpected compounds that can possibly be identified retrospectively. The selectivity of HR-FS takes place post-acquisition by the construction of an extracted ion chromatogram (XIC) on the analyte theoretical m/z with a narrow mass extraction window (MEW) [22].

As a noteworthy consequence for clinical pharmacologists, HR-MS technology (i) offers the possibility to identify and survey the exposure to a drug and tens of its metabolites and (ii) allow, whenever needed, to extend drug monitoring to many drug metabolites in patients’ samples, in order to relate their concentrations with toxicity or efficacy. This is in line with recent Authority’s demands about pharmacovigilance [12, 25, 26].

In the present study, we have tested a quantitative and qualitative (Quan/Qual) approach on 20 patients treated with TAM. In parallel to the absolute quantification of TAM and
three known metabolites by HR-MS and TQ-MS, the relative quantification of 37 other identified TAM metabolites was performed together with their provisional elucidation.

Materials and methods

Materials and reagents

(Z)-TAM was purchased from Sigma-Aldrich (Germany). (Z)-4-hydroxy-TAM (4-OH-TAM), (Z)-N-demethyl-TAM (N-demethyl-TAM), N-demethyl-4-hydroxy-TAM 1:1 E/Z mixture (N-demethyl-4-OH-TAM) and the deuterated internal standards (IS); TAM-ethyl-D₃ (TAM-IS), N-demethyl-TAM-ethyl-D₃ (N-demethyl-TAM-IS), 4-hydroxy-TAM-ethyl-D₃ (4-OH-TAM-IS), and N-demethyl-4-hydroxy-TAM-ethyl-D₃ (endoxifen-IS), were purchased from Toronto Research Chemicals Inc. (Canada). Chromatography solvents and other chemicals were of analytical grade (Merck (Darmstadt, Germany).

Patients’ blood withdrawal, plasma extraction and CYP2D6 status

Blood samples were obtained from breast cancer patients enrolled in a study protocol (ClinicalTrials.gov Identifier: NCT00963209) approved by the local Ethics Committee and collected in K-EDTA Monovettes® (Sarstedt, Germany). Patients received 20 mg TAM once or twice daily for ≥4 months. Blood samples were centrifuged (1,850×g, 10 min, +4 °C). Plasma were transferred and frozen at −20 °C.

As previously described in a method validated according to FDA guidelines [27], the extraction was as follows: 100 μL of plasma samples were mixed with 400 μL MeCN containing the four IS (6.25 mg/mL). The mixture was centrifuged (16,000×g, 10 min, 4 °C). Supernatants were transferred into polypropylene tubes and evaporated at RT under a N₂ flux. The dried residues were reconstituted in 600 μL MeOH/20 mM ammonium formate 1:1 (v/v) adjusted to pH 2.9 and re-centrifuged. Supernatants were transferred into injection vials.

Patients were classified according to their CYP2D6 genotype as poor (PM; N=1), intermediate (IM; N=7), extensive (EM; N=11), or ultra-rapid metabolizers (UM; N=1).

LC-MS methods and parameters

The analyses used two UHPLC systems coupled to a triple-quadrupole Quantum Ultra MS (Thermo, USA) or an Exactive Plus Orbitrap MS (Thermo, Germany) and included a Rheos Allegro pump (Flux Instruments, Switzerland) and a HTS PAL autosampler (CTC analytcs, Switzerland) set at 10 °C. Heated electrospray ionization (H-ESI) was operated in positive mode. All H-ESI and MS parameters were usual values including spray voltage, 3.8–4.7 kV; sheath gas and auxiliary nitrogen pressures, 40–60 and 10–20 respective arbitrary units; declustering potential, 4–10 V; capillary temperature, 300–350 °C; and tube lens voltages, 60 to 180 V.

The mobile phase was 10 mM ammonium formate+0.1 % FA (A) and MeCN+0.1 % FA (B) and was delivered at 300 μL/min using the following stepwise gradient: T=0 min, 20 % B; T=11 min maintained for 1.4 min, 55 % B; T=12.5 min: initial conditions for 3.5 min. The analytical column, 2.1×30 mm (i.d.×L) Acquity UPLC BEH C18 1.7 μm particle size (Waters, USA), was placed in an oven set at +40 °C. The injection volume was 10 μL. Data acquisition, peak integration, and quantification were performed using Xcalibur software (Thermo, USA).

TQ-MS settings: quadrupole resolution, 0.7 U at FWHM; collision gas (Arg) pressure, 1.5 mTorr, and transitions with 0.5 U and 0.02 s scan width and scan time, respectively. SRM were recorded in centroid mode with the following precursor→product m/z and collision-induced dissociation (see Table 1 for abbreviations and chemical compositions). TAM, 382.3→72.1 at 23 eV; N-demethyl-TAM, 358.3→58.1 at 21 eV; 4-OH-TAM, 388.3→72.1+129.1 at 38/25 eV; Endoxifen, 374.3→58.1+129.1+223.1 at 22/28/ 20 eV; Endoxifen IS, 377.3→72.1 at 24 eV; 4-OH-TAM IS; 363.3→58.1 at 21 eV; N-demethyl-TAM IS, 393.3→72.1 at 25 eV; and TAM IS, 379.3→58.1 at 22 eV.

Exactive Plus-HR-MS settings: HR-FS alternating with “all-ion fragmentation” MS (MSALL; high-energy collisional dissociation=40 eV) scan: from m/z 200 to 800 and m/z 55 to 800, respectively. MSALL is the fragmentation of all precursor ions entering the C-trap (no ion selections). C-trap capacity, 55 to 40 ions entering the C-trap (no ion selections). C-trap capacity, 55 to 40

Quantitative analysis

As previously described [27], calibration curves were prepared with TAM, 4-OH-TAM, N-demethyl-TAM, and
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Abbreviations for metabolite identification: desat desaturated, OH hydroxylated, NO N-oxygenated, Gluc glucuronide, “white circles” never reported, “black circles” reported in animals or incubations only, “inverse white circle” reported in human plasma.

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Endoxifen (Z-isomers) at eight different levels ranging from 0.5 to 500, 0.2 to 200, 1 to 1,000, and 0.5 to 500 ng/mL whereas quality control samples (QCs) were spiked at 3, 50, 375, and 1,2, 20, 150, and 6, 100, 750, and 3, 50, 375 ng/mL, respectively. Patients’ plasma extracts were analyzed with the LC-TQ-MS system and a few months later re-extracted and analyzed with the LC-HR-MS system. Absolute quantifications were compared between the two analyses. Detection sensitivity, robustness, and convenience were assessed.

Qualitative analysis (metabolite identification)

The exact same HR-MS data used for the absolute quantification were reprocessed with Metworks 1.3 (Thermo, USA) for metabolite identification (Qual analysis). MassFrontier 6.0 (Thermo, USA) was used for the structure elucidation with the identification of fragment ions.

Two main strategies were employed for the identification of TAM metabolites. First, XIC (MEW=5 ppm) around theoretical m/z values from 50 biotransformations predicted by Metworks software 1.3 SP2, were constructed (see also published list [28]). XIC of patients’ plasma extracts were compared with blank plasma and calibrate plasma. Secondly, a mass defect filtering (MDF) using Metworks software was applied and all ions that did not enter the MDF limits were removed. This MDF delimitation considered the mass defect and nominal m/z values of TAM metabolites discovered by the first strategy and was defined as a square between m/z 0.165 at m/z 250 (lower limits) and m/z 0.270 at m/z 600 (upper limits). The MDF-cleaned spectra of a patient’s chromatogram were checked visually scan by scan to reveal unexpected potential TAM metabolites and their m/z constructs were compared in patients’ and control plasma chromatograms.

For structure elucidation attempt of some identified TAM metabolites, additional LC-MS analyses were performed with a Q-Exactive HR-MS recording (true) MS² product ion spectra. Different collision energies were applied (see “Results”).

Semi- and relative quantification of TAM metabolites

After metabolite identification and confirmation, semi- and relative quantifications of TAM metabolites in the 20 patients’ plasma were assessed. Semiquantification is the estimation of metabolite levels in universal units (e.g., ng/mL) but within a large range (e.g., 0.1- to 10-fold accuracy) whereas relative quantification is the more or less precise determination of metabolites in arbitrary units.

With no pure standards of TAM metabolites, it is difficult to evaluate accurately the absolute levels. Nevertheless, semiquantification of TAM metabolites was estimated using the following equation applied to mean values: TAM metabolite level (ng/mL)=((metabolite/TAM_IS peak area ratio) / (TAM/TAM_IS peak area ratio))×TAM absolute level.

The relative quantification was done with LC peak area ratios of TAM metabolites and IS. Metabolite/IS peak area ratios were calculated to reduce variability. The IS selection for each TAM metabolite has been chosen based on chemical structure similarities and retention times (see Table 1).

Statistics and bioinformatics data treatment

Passing–Bablok regression (PB) and Bland–Altman test (BA) have been used to assess the agreement between the two analytical methods for the absolute quantification. Assumptions of linearity and normal distribution of differences, for respectively PB and BA, have been assessed. For BA analysis, due to the presence of proportional linear bias for some analytes, mean difference (bias) between measurement and limits of agreements were expressed as percentages of the absolute analytes concentrations and percent difference plots were used. Statistical analyses were performed using the MedCalc software, version 12.7 (MedCalc Software, Ostend, Belgium).

Multivariate analysis, including principal component analysis (PCA) and Partial Least Squares (PLS) regression, was performed using SIMCA-P© v.13 (Umetrics, Sweden). PLS aims at building a linear multivariate model by determining an appropriate compromise between a synthetic description of the variables and a good correlation with the response. A leave-one-out cross-validation procedure was used to ensure the robustness of the PLS model and its generalization ability. For that purpose, the model was computed with data from 19 patients, leaving one subject out. The unseen sample was then predicted by the model. The process was repeated 20 times, once per subject. Model validity was further verified using permutation tests and CV-ANOVA.

Results

Absolute Quantification of TAM and three metabolites

PB analysis performed on measured TAM levels, revealed the presence of a linear proportional bias (see Electronic supplementary material Fig. S1a and 1b). BA analysis shows the estimated bias (expressed as % of mean absolute concentrations of TQ and HR-MS) between the methods and the lower and upper limits of agreements (LOA) for TAM, N-demethyl-TAM, 4-OH-TAM, and endoxifen levels, which were 5.6 % (LOA, −12.3 and 25.5 %), −4.3 % (−25.4 and 10 %), −7.7 % (−27.9 and 19.2 %), and −6 % (−27.9 and 19.2 %), respectively (see Electronic supplementary material Fig. S1c).

Various minor differences in LC-MS conditions could putatively explain the acceptable bias between the analyses. Robust method-comparison experiment should be
Identification of TAM metabolites

Using the software dedicated to drug metabolism and its integrated list of predicted metabolites (m/z) to be extracted, we rapidly identified most TAM metabolites by comparing XIC from treated patients’ and control samples (Fig. 1). We focused our comparison on two plasma samples showing the highest TAM levels (344 and 446 ng/mL). Over a day, we identified more than 40 potential TAM metabolites (LC peaks). With MS\textsuperscript{ALL} acquisitions, we confirmed most of our identifications by detecting TAM fragment ions that coeluted with the metabolite precursor ion. The tolerance on mass accuracy of the fragment ions was ±15 ppm (MS\textsuperscript{ALL} acquisition was set at a lower resolution=17,500). The fragmentation of TAM in the HCD cell at 40 eV is presented in the Electronic supplementary material Fig.S3a–b.

The most intense marker ion of TAM and its metabolites was m/z=72.08078 corresponding to [C\textsubscript{4}H\textsubscript{10}N]\textsuperscript{+} and was found in most metabolite MS\textsuperscript{ALL} spectra. Using chromatograms and spectra cleaned by mass defect filtering, a few additional ions were detected as potential metabolites whereas they were not predicted. The last step of metabolite identification, consisting in measuring the correlation between TAM/IS and metabolite/IS LC peak area ratios, is given in Table 1 (see examples in Fig. 2 for ID no. 7, 8, 22, 25, 38, and 39). Metabolite candidates that were not correlated to TAM/IS ratio (R\textsuperscript{2}<0.1) were considered as false positives and discarded. By contrast, a metabolite candidate with a R\textsuperscript{2}>0.25 was identified with additional evidence. The best correlation was obtained with TAM-desat (ID no. 39; R\textsuperscript{2}=0.968), whereas the poorest value was observed with (Z)-endoxifen (ID no. 25; R\textsuperscript{2}=0.305) (Table 1; Fig. 2), a metabolite whose formation is known to be controlled by CYP2D6 activity.

Identification of TAM metabolites were considered as “confirmed” only if the three following criteria were fulfilled: (i) mass accuracy between measured and theoretical m/z<±3 ppm; (ii) positive correlation (R\textsuperscript{2}>0.1) between TAM metabolite/IS and TAM/IS ratios; and (iii) presence of ≥2 fragment ions (MS\textsuperscript{ALL} or MS\textsuperscript{2} acquisition), with a mass accuracy of less than ±15 ppm, identical to TAM or bearing the metabolic change and coeluting with the precursor ion or (iv) a fine isotopic distribution corresponding to the metabolite chemical composition (e.g., 34S vs. 13C\textsubscript{2}).

Summarizing the results of the above investigations, Table 1 shows all identified TAM metabolites detected in plasma extracts and present in at least eight patients (≥40 %). Similarly, Fig. 1 shows the mean of 40 metabolite LC peak area relatively to TAM mean area (in %) on a log-scale representation. Additional putative metabolites were detected (e.g., hydroxy-methoxy-TAM, demethyl-TAM-COOH and additional isomers of some metabolites depicted in Table 1) but were below the detection limit in most patients (>12/20) with our sample preparation and not considered further.

Examples of metabolite identification with the Exactive Plus and Q-Exactive analyses are depicted in the Electronic supplementary material Fig. S3a–e. MS\textsuperscript{2} spectra with fragment ions and metabolite structure proposals of TAM-COOH (ID no. 8), TAM-desat (ID no. 39), and TAM-dihydrodiol (ID no. 7) as well as the fine isotopic distribution of OH-TAM-sulfate at A+2 (m/z\textsuperscript{monoisotopic}+2 U=m/z 470; ID no. 20) confirming the presence of a sulfur atom [29], are depicted.

In Fig. 3a, b, we describe the identification of three putative glucose conjugates, namely two didemethyl-trihydroxy-TAM-glucosides (ID no. 22 and 26) and one didemethyldihydroxy-TAM-glucoside (ID no. 5). These glucosides have never been described and were revealed in MDF cleaned spectra (see Electronic supplementary material Fig. S4a, b). Key information to identify their chemical composition was the capability to establish the absence of a sulfur atom using the fine isotopic distribution (Fig. 3a). This information reduced significantly the chemical composition possibilities. Taking metabolite no. 22 as a case in point, Fig. 3a challenges two possible chemical compositions, with or without a sulfur atom (which would derive from an initial glutathione conjugate): [C\textsubscript{37}H\textsubscript{34}ON\textsubscript{2}S]\textsuperscript{+} and [C\textsubscript{30}H\textsubscript{32}O\textsubscript{18}N]\textsuperscript{+}. The theoretical isotopic distribution of these two compositions is depicted for A+2 (m/z\textsuperscript{monoisotopic}+2 U). A resolution of >75,000 is sufficient to dissociate the two chemical compositions (m\textsubscript{1} and m\textsubscript{2}) with a partial overlapping (resolution=1.5×(m\textsubscript{1}−m\textsubscript{2})/ (m\textsubscript{1}−m\textsubscript{2})); see [22]). Figure 3b shows the fragmentation pathways of one of the possible regioisomeric structures of metabolite no. 22. Its fragmentation is very different from that of TAM (see Electronic supplementary material Fig. S2a) due to the proton location: on the tertiary amine for TAM and on the glucosyl moiety for the glucoside. While neither their positions of hydroxylation nor the point of attachment of the...
glucose moiety (on the \(-\text{NH}_2\) or an \(-\text{OH}\) group) could be ascertained with the methodologies used, one can nevertheless note that metabolites no. 22 and 26 correspond to six biotransformation steps, whereas the more polar metabolite no. 5 (see Electronic supplementary material Fig. S3a) corresponds to seven steps.

To the best of our knowledge, many of the TAM metabolites reported herein have never been detected before in patients’ plasma even if some were described in vitro or in animals, e.g., TAM-dihydrodiol and TAM-OH-sulfate (see ID no. 7 and in ID no. 20 in Table 1).

From our previous established retention time with pure standards [27] and from literature [16, 18, 30], we have fully identified eight metabolites, whereas in most cases absolute configuration of identified TAM metabolites could not be established (Table 1).

Other metabolites were never reported, namely: OH-TAM-COOH (ID no. 14), \(N\)-demethyl-TAM-desat (ID no. 36), TAM-desat (ID no. 39), OH-TAM-desat (ID no. 42, 45), and the three glucosides (ID no. 5, 22, and 26). The characterization of these new metabolites in patients’ plasma owes much to the high sensitivity and selectivity of HR-FS and the ease to trace potential metabolites with XIC constructions.

The four desaturated metabolites were identified from their loss of \(m/z=2\), most likely at the end of the \(-\text{CH}_2\text{CH}_3\) side-chain, a CYP-catalyzed reaction energetically favorable when the double bond so created is conjugated with an aromatic system, as is the case here [31]. As for glucosyl conjugates, they are seldom reported compared with glucuronides, but their existence has been known since decades [32, 33]. Their formation involves the cofactor uridine-diphosphate glucose (UDP-glucose) and is catalyzed by UDP-glucuronosyltransferases (UGTs) [34]. Glucosylation has been shown to occur at alcoholic, phenolic and carboxylic \(-\text{OH}\) groups to yield \(O\)-glucosides and at amido and amino groups to yield \(N\)-glucosides [32–36]. In our case, glucosylation could have occurred at one of the hydroxy groups or at the primary amino group. It is also remarkable that the glucosides were produced by six and seven metabolic steps while remaining well above the detection limit.

**Semi- and relative quantification of TAM metabolites**

The absolute quantification of 40 metabolites by LC-MS analysis appears to be unrealistic and to a large extend useless. However, a relative quantification of TAM metabolites (in arbitrary units), if precise (less than ±30 %), can be used to establish various associations between metabolite levels and side-effects or treatment efficacy. Such associations are key when one or a few metabolites are suspected to contribute to
clinical events. This is the main goal of these metabolite relative quantifications.

In LC-MS analysis, the detection intensity of a compound is depending on its ionization yield and on the matrix effect at its retention time, both depending on sample cleanup and LC-MS. Using constant extraction procedure and LC-MS parameters, the ionization yield can be considered as similar between samples especially when weighted by internal standards.

Matrix effect was evaluated in our method with postcolumn infusion of TAM, 4-OH-TAM, N-demethyl-TAM, and endoxifen [27]. Water and different plasma extracts showed a low difference and low variability of matrix effects for TAM, 4-OH-TAM, and endoxifen with less than or equal to ±20 % during the entire chromatogram (1 to 13 min). When IS were permuted in our relative quantifications (e.g., metabolite\textsuperscript{ID no. 7}/IS\textsuperscript{ID no. 24} vs. metabolite\textsuperscript{ID no. 7}/IS\textsuperscript{ID no. 40}, etc.), no significant impact on relative quantification was observed (40 linear regressions gave determination coefficients ($R^2$)≥0.977; mean=0.990±0.005; see typical correlations in Electronic supplementary material Fig. S5a). The precision of the relative quantification (determined area ratios vs. predicted ratios from the correlations; $N$≥380), remains between 85 and 117 %. Previous results [37] obtained with similar sample preparation and LC conditions showed low impact of matrix effects on relative quantification. Taking into account the precision (less than ±20 %) of our relative determination of TAM metabolites, data were processed further.

Relations between relative levels of TAM metabolites and TAM (TAM metabolite/IS against TAM/IS peak area ratios) for each metabolite gave various information. Indeed, $R^2$ values decreased with the number of biotransformation steps.
Proposal no. 1 with sulfur: \( A = [C_{37}H_{34}ON_2S]^+ \) \( \text{m/z}_{\text{theor.}} = 554.23864 \)

\[
\begin{align*}
A + 2 &= [C_{36}H_{32}ON_2^{13}S]^+ \\
\text{m/z}_{\text{theor.}} &= 556.23443
\end{align*}
\]

\[
\begin{align*}
A + 2 &= [C_{36}H_{32}ON_2^{18}S]^+ \\
\text{m/z}_{\text{theor.}} &= 556.24535
\end{align*}
\]

\[ R_{\text{min}} : 100,000 \]

Proposal no. 2: no sulfur: \( A = [C_{30}H_{36}O_9N]^+ \) \( \text{m/z}_{\text{theor.}} = 554.23846 \)

\[
\begin{align*}
A + 2 &= C_{28}^{13}C_{2}H_{36}O_9N \\
\text{m/z}_{\text{theor.}} &= 556.24517
\end{align*}
\]

\[ R_{\text{min}} : 450,000 \]

Spectrum from HR-FS acquisitions (N=10; \( R_s = 85,000 \) at \( \text{m/z} = 556)\]

Fig. 3 Identification of TAM glucose conjugate metabolites measured at \( \text{m/z} 554.23888. \) (a) Two possible chemical compositions, \([C_{37}H_{34}ON_2S]^+\) and \([C_{30}H_{36}O_9N]^+\), show mass accuracy (MA)=+0.4 and +0.8 ppm, respectively. The chemical composition was elucidated with the fine isotopic distribution of \((A+2)\) corresponding to the theoretical distributions depicted as Proposals 1 and 2. The minimum resolution \((R_{\text{min}})\) to resolve completely \([C_{37}H_{34}ON_2^{13}S]^+\) and \([C_{35}^{13}C_{2}H_{34}ON_2S]^+\) or \([C_{28}^{13}C_{2}H_{36}O_9N]^+\) or \([C_{30}H_{36}O_8^{18}ON]^+\) is 100,000 and 450,000, respectively. Bottom, \((A+2)\) spectrum. HR-MS resolution were set up at 140,000 (at \( \text{m/z}=200)\) that corresponds to 85,000 at \( \text{m/z} 556\) (measured resolution). This is sufficient to conclude to the absence of a sulfur atom in this chemical composition. The insert (bottom right) shows the m/z

\[
\begin{align*}
\text{RIA meas.} &= 32.5\% \\
\text{RIA theor.} &= 32.2\%
\end{align*}
\]

\[
\begin{align*}
\text{RIA meas.} &= 5.1\% + 1.9\% \\
\text{RIA theor.} &= 5.7\%
\end{align*}
\]

\[
\begin{align*}
\text{RIA meas.} &= 100\% \\
\text{RIA theor.} &= 100\%
\end{align*}
\]

(= metabolite generation, \( F, \) see Fig. 4a), indicating increased inter-patient variability in metabolite production with the implication of additional metabolizing enzymes. The decrease of \( R^2 \) values was less pronounced when considering \( F1\)–\( F4 \) (linear equation; slope=−0.057) rather than \( F1\)–\( F2 \) or \( F1\)–\( F3 \) (slope=−0.105 and −0.111, respectively). This decrease was almost abolished when all metabolite generations, \( F1 \) to \( F7 \), were taken into account (linear equation; slope=−0.007; Fig. 4a). This observation could be explained by the fact that \( F4\)–\( F7 \) metabolites were produced by the same enzymes than the \( F1, F2, \) and \( F3 \) metabolites, and/or that \( F4\)–\( F6 \) metabolites were produced from previous metabolite generations in a sort
of production line of various metabolizing enzymes associated on the endoplasmic reticulum membrane. When the relative concentrations of TAM metabolites, expressed in percent of TAM levels ((TAM metabolite/IS mean peak area ratios) / (TAM/IS mean peak area ratios)), were plotted against metabolite generation, they decreased from F1 to F3 but remained constant from F3 to F4 (Fig. 4b).

In a global perspective, Fig. 4a, b underscores the importance to study in vivo drug metabolism and therapeutic monitoring beyond the usual first- or second-generation metabolites, as the formation to toxic/reactive metabolites is by far not restricted to the first metabolic steps [17].

Without pure standards, the semiquantitative estimation of drug metabolites can be realized by comparing UV and MS signals [6]. This can be difficult when small amounts of metabolites prevent good UV detection, or when biotransformation strongly modifies UV absorbance. In this study, we have estimated the absolute level of TAM metabolites based on peak areas and TAM absolute mean level (see “Materials and methods” for the equation). In the Electronic supplementary material Fig. S5b, eight metabolite levels were given in percent of TAM absolute levels (this work and [30]) or in percent of TAM peak area. The maximum difference between these two calculations was observed with didemethyl-TAM (ID no. 35). In this case, didemethyl-TAM mean level was 5-fold underestimated when considering the relative quantification rather than the absolute levels. This is due to a lower attraction of H+ for the primary amino group (didemethyl-TAM) compared with the tertiary amino group (TAM) and a lower ionization yield. The absolute levels of the seven other metabolites were only slightly underestimated by their peak area: mean=−40 % (from −80 to −10 %) (see Electronic supplementary material Fig. S5b). As most new metabolites detected in our samples show peak area between 0.1 and 1 % of TAM peak area (Fig. 1; Table 1), we can estimate that these metabolites are probably in the range of 0.2 to 2 ng/mL of plasma (corresponding to 0.1–1 % of TAM absolute mean level in this study). According to the blood concentrations of known toxic compounds listed by the International...
Association of Forensic Toxicologists (http://www.tiaft.org/) [38, 39], the TAM metabolite levels estimated in this work are comparable to those of many compounds that show toxicity at very low concentrations (clenbuterol, digoxin, fentanyl, etc.). Such a comparison underscores that drug metabolites, even at low levels, can have an impact on human body homeostasis and that, when needed, clinical investigations should associate plasma metabolite concentrations with drug toxicity or efficacy.

Statistics and bioinformatics data treatment

Multivariate analysis was performed to provide an overall picture of the drug metabolism events occurring after TAM administration, by accounting for all the information provided by the 40 measured metabolites. In order to highlight characteristic metabolic patterns related to TAM plasma values, the TAM relative concentration was used as a response vector to be predicted as a linear combination of its metabolites using a PLS regression model. A PLS model with three latent variables fitted the dataset well, as shown by the high $R^2$ value ($R^2=0.97$), and was selected as the optimal model size according to prediction ability evaluated by leave-on-out cross-validation ($Q^2=0.92$, CV-ANOVA; $p<0.01$). The distribution of the samples on the PLS score plot revealed a clear separation of the patients on the first latent variable according to the TAM dose administered, i.e., patients with a single (20 mg) or double daily dose (40 mg; data not shown). This underlines the strong effect of the dose on the measured metabolites relative concentrations (see also the correlation coefficients in Table 1). This influence was confirmed by the loadings examination, as all metabolite concentrations were increased for patients receiving a double dose compared with the single dose group (data not shown). The observed vs. predicted plot (Fig. 5a) highlighted a clear trend caused by the TAM dose but additional inter-individual variabilities resulted in a well-balanced continuous distribution of TAM plasma values. The Variables Importance in Projection (VIP) scores were
then investigated to highlight characteristic metabolites related to the TAM level response. A VIP score greater than 1 indicates a potentially important variable in the model considered. As shown in Fig. 5b, the highest VIP scores were obtained for TAM_desaturated (1.31), OH-demethyl-TAM_1 (1.27), and N-demethyl-TAM (1.25). Therefore, the most characteristic metabolites of the TAM plasma concentrations could be highlighted (see also $R^2$ values in Table 1).

To circumvent the major source of variability related to the dose when investigating the whole set of measured metabolites, a subset of 32 metabolites ratios was selected based on possible sequential biotransformation. This biologically driven selection aimed at uncovering metabolic patterns associated with CYP2D6 enzymatic activity. Thus, a PCA model was then computed to assess patients’ groupings with respect to their CYP2D6 genotypes. A trend separating poor, intermediate, extensive and ultra-rapid metabolizers (PM, IM, EM, and UM, respectively); (d) List and values of the most contributing variables to PC1.

**Fig. 5** PLS regression model relating plasma levels TAM to the 40 measured metabolites: (a) Observed vs. predicted plot. Patients with a single dose (20 mg) are symbolized by open triangles and patients with a double dose (40 mg) by filled triangles; (b) VIP plot. PCA Model based on 32 selected metabolic ratios (PC1 (30.3 %) vs. PC2 (19.8 %)); (c) Score plot. Symbols are related to CYP2D6 genotypes: poor, intermediate, extensive, and ultra-rapid metabolizers (PM, IM, EM, and UM, respectively); (d) List and values of the most contributing variables to PC1.

**Fig. 6** Overview of tamoxifen biotransformations reflected in the circulating metabolites observed in chronically treated patients.
principal component, as presented on Fig. 5c. Even if principal components correspond to directions maximizing the variance without any objective of class separation, the selection of biologically relevant metabolic ratios allowed the effects of the CYP2D6 genotypic diversity to be highlighted as the major source of variability in the reduced dataset (30.3 % of the initial variance). Metabolites ratios were then associated with either poor/intermediate or extensive/ultra genotypes according to their position on the loading plot (data not shown). Interestingly, the 12 most distinctive ratio characterizing extensive and ultra metabolizers were related to 4 compounds, namely TAM, N-demethyl-TAM, α-OH-TAM, and 4'-OH-TAM (Fig. 5d). While the two first are known CYP2D6 substrates, these results suggest that α-OH-TAM and 4'-OH-TAM may also be biotransformed in vivo by CYP2D6. This example with TAM metabolism shows that extended drug-metabolite profiling could be applied to most drug deserving similar investigations (e.g., when a metabolite-related ADR is suspected).

Discussion and perspectives

The advantage of HR-MS allowed us to study in greater depth and with better convenience TAM metabolites in patients in parallel with the quantification of TAM and its metabolites. Such a Quan/Qual analysis could be of potential utility in in vivo drug metabolism and pharmacovigilance studies in order to reach broader insights in inter-patient drug biotransformation profile and relate metabolite concentrations with toxicity.

Some metabolites were detected for the first time in patients’ plasma whereas others had never been described before. Thus, putative didemethyl-trihydroxy- and didemethyl-tetrahydroxy-TAM-glucoside conjugates were identified, implying six and seven biotransformation steps, respectively. It is noteworthy to mention that glucosides are seldom reported in the literature compared with glucuronides. This finding underscores the potential need to determine metabolites formed by more than the usual one, two or three biotransformation steps in subpopulation showing specific events. The overview of TAM biotransformations found in this study is depicted in Fig. 6.

Metabolites are known to play an important role in drug toxicity, particularly chemically reactive ones, but the assessment of their impact in drug-related side effects has been somewhat neglected due to the considerable time and effort needed with previous MS technologies. Using the latest HR-MS techniques, this situation should evolve and allow an easier access to the production of late-generation metabolites with a view to reaching a more comprehensive understanding of the relation between metabolites and adverse effects in patients with particular genetic traits, life style, and environmental exposure.

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